

## FEATURES OF EQUALIZATION IN LTE TECHNOLOGY WITH MIMO AND SC-FDMA (p. 4-8)

Abdourahaman Ali

The Long Term Evolution (LTE) is one of communication standards for next-generation mobile phones, which has evolved the high-speed data communication standard HSDPA for the mainstream 3G (third generation) mobile phone system W-CDMA at present. Data communication volume of mobile phones is rapidly increasing at present due to dissemination of smart phones and mobile communication. Therefore, expansion of communication band (capacity) is a critical issue for communication carriers. Because the LTE that allows high-speed data communication with low delay is superior in terms of the radio wave use efficiency, many of major communication carriers in the world have indicated a policy of adopting the LTE. The rapid dissemination of the LTE in the future is expected. In this report we overview the fundamental techniques of equalization in LTE technology with MIMO and SC-FDMA in channels where the maximum delay exceeds the length of the Guard Interval. The goal of employing narrow band subcarriers is to obtain a channel that is roughly constant over each given sub band, which makes equalization much simpler at the receiver. There are considering advantage and disadvantage three types equalization techniques: time domain, frequency domain and turbo equalization. On base of these compeering are giving practical recommendation for choice method of equalization. To enhance this frequency use efficiency, antenna technologies, including Multi Input Multi Output (MIMO) that allows transmission/reception with multiple antennas and Space Division Multiple Access (SDMA) that allows multiple access between base stations, have been introduced. Furthermore, Orthogonal Frequency Division Multiple Access (OFDMA) and Single Carrier Frequency Division Multiple Access (SC-FDMA) are used as multiplexing systems.

**Keywords:** LTE, SC-FDMA, OFDMA, MIMO, equalization, MMSE-BLE, RNN.

### References

1. Khan, F. (2009). LTE for 4G Mobile Broadband - Air Interface and Performance. Cambridge University Press, 492. doi: 10.1017/cbo9780511810336
2. Teich, W. G., Seidl, M. (1996). Code Division Multiple Access Communications: Multiuser Detection Based on a Recurrent Neural Network Structure. Proceedings of ISSSTA'95 International Symposium on Spread Spectrum Techniques and Applications, 979–984. doi: 10.1109/isssta.1996.563450
3. Tuchler, M., Koetter, R., Singer, A. C. (2002). Turbo equalization: principles and new results. IEEE Transactions on Communications, 50 (5), 754–767. doi: 10.1109/tcomm.2002.1006557
4. Myung, H., Lim, J., Goodman, D. (2006). Single carrier FDMA for uplink wireless transmission. IEEE Vehicular Technology Magazine, 1 (3), 30–38. doi: 10.1109/mvt.2006.307304
5. Foschini, G. J. (1996). Layered space-time architecture for wireless communication in a fading environment when using multi-element antennas. Bell Labs Technical Journal, 1 (2), 41–59. doi: 10.1002/bltj.2015
6. Bölcskei, H., Gesbert, D., Paulraj, A. J. (2002). On the capacity of OFDMbased spatial multiplexing systems. IEEE Trans. Commun., 50, 225–234.

7. Falconer, D., Ariyavisitakul, S. L., Benyamin-Seeyar, A., Eidson, B. (2002). Frequency domain equalization for single-carrier broadband wireless systems. IEEE Communications Magazine, 40 (4), 58–66. doi: 10.1109/35.995852
8. Manchon, C., Deneire, L., Mogensen, P., Sorensen, T. (2008). On the design of a MIMO-SIC receiver for LTE downlink. IEEE Vehicular Technology Conference Fall (VTC-Fall), 1–5. doi: 10.1109/vetecf.2008.189
9. Raghunath, K., Chockalingam, A. (2009). SC-FDMA versus OFDMA: Sensitivity to large carrier frequency and timing offsets on the uplink. In IEEE Global Telecommunications Conference (GLOBECOM), 1–6. doi: 10.1109/glocom.2009.5425747
10. Berardinelli, G., Priyanto, B., Sorensen, T., Mogensen, P. (2008). Improving SC-FDMA performance by turbo equalization in UTRA LTE uplink. IEEE Vehicular Technology Conference (VTC-Spring), 2557–2561. doi: 10.1109/vetecs.2008.562
11. Hanzo, L., Munster, M., Choi, B. J., Keller, T. (Eds.) (2003). OFDM and MC-CDMA for Broadband Multi-User Communications, WLANs and Broadcasting. Wiley/IEEE Press, 897.
12. Falconer, D., Ariyavisitakul, S. L., Benyamin-Seeyar, A., Eidson, B. (2002). Frequency domain equalization for single-carrier broadband wireless systems. IEEE Communications Magazine, 40 (4), 58–66. doi: 10.1109/35.995852
13. Myung, H., Lim, J., Goodman, D. (2006). Single carrier FDMA for uplink wireless transmission. IEEE Vehicular Technology Magazine, 1 (3), 30–38. doi: 10.1109/mvt.2006.307304
14. Lindner, J. (2004). Informations ubertragung: Grundlagen der Kommunikations technik Springer. Berlin, 474. doi: 10.1007/b137971
15. Hagenauer, J., Offer, E., Papke, L. (1996). Iterative Decoding of Binary Block and Convolutional Codes, IEEE Transactions on Information Theory, 42 (2), 429–445.
16. Sklar, B. (1997). A primer on turbo code concepts. IEEE Communications Magazine, 35 (12), 94–102. doi: 10.1109/35.642838

## INFLUENCE OF ERRORS ON THE ACCURACY OF THE SPATIAL MONITORING RESULTS UNDER REDUNDANT INFORMATION (p. 8-13)

Yuri Kulyavets, Oleg Bogatov, Elena Ermakova

The presence of information redundancy allows to obtain an overall estimate by various relatively simple measuring devices using minimally sufficient set of fundamental measurements. Herewith, estimated parameters tend to be related to the measured initial estimates of nonlinear functional relationships. Therefore, direct use of the maximum likelihood method leads to the necessity of solving systems of nonlinear equations. Applying the linearization method of nonlinear functional relationships allows to obtain optimal (in this case maximum likely) estimates of the final parameter and the correlation matrix of estimation errors in an explicit form. Herewith, solving the problem of optimal use of estimates of the same state vector obtained by different methods simultaneously, is reduced to a consistent application of the estimate filtering algorithm. However, the weight matrix, included in the expression for determining the overall estimate depends on the values of the measured parameter and is not always known a priori. Based on this, without dwelling on the possible ways of obtaining the weight matrix, the analysis of the influence of its determination accuracy on the overall estimate accuracy was performed. It is shown that the elements of the correlation error matrix of the overall parameter estimate

depend not only on the accuracy of the initial estimates, obtained from different measuring devices simultaneously, but also on the estimation accuracy of the weight matrix, methods of its determination and the closure error of initial parameter estimates.

**Keywords:** information integration, parameter measurement, independent measuring devices, estimate filtering, weight matrix.

## References

- Monitoring of emergency situations [Monitoring nadzvichajnykh situatsij] (2005). Kharkiv: ACDU, 530.
- Bessoniy, V. L. (2008). Using method of informational redundancy for guaranteeing reliability of results of emergency situations monitoring [Ispol'zovanie metoda informatsionnoj izbytochnosti dlya obespecheniya dostovernosti rezul'tatov monitoringa chrezvychajnykh situatsij]. Kharkiv: NUCDU, 8, 44–51.
- Kondrashov, V. T. (2006). The theory of redundant measurements [Teoriya izbytochnykh izmerenij]. Komp'yuterni zasobi, MEREZHI that system, 5, 23–33.
- Hrapov, F. I. (2010). On the question of the use of different types of redundancy for the assessment of measurement systems with hard to reach transducer during operation [K voprosu ispol'zovaniya razlichnykh vidov izbytochnosti dlya otsenki sostoyaniya izmeritel'nykh sistem s trudno-dostupnymi pervichnymi izmeritel'nymi preobrazovatelyami v protsesse ehkspluatatsii]. Herald metrology, 3, 1115.
- Shearman, J. D., Manzhos, V. N. (1981). Theory and techniques of processing radar information on the background noise [Teoriya i tehnika obrabotki radiolokacionnoj informacii na fone pomeh]. Moscow, USSR: Radio i Sviaz, 416.
- Motylev, K. I. (2011). Processing excessive trajectory information based on the correlation of measurement errors [Obrabotka izbytochnoj traektornoj informatsii s uchetom korrelyatsii oshibok izmerenij]. Automation, telemekhanika, phone reception: Zbirnik Naukova Pratzen DonIZT, 27, 45–49.
- Bystrov, V., Davydov, R., Lebedev, Ye., Maltsev, A. (1988). The influence of redundant measurements on evaluation of parameters [Vliyanie izbytochnykh izmerenij na ocenku parametrov]. Moscow, USSR: A. L. Mints RTI. academy of Sciences of the USSR, 20.
- Motylev, K. I. (2008). Treatment of excessive orbital information of measurement computer system [Obrabotka izbytochnoj traektornoj informatsii v izmeritel'no-vychislitel'nykh sistemakh]. Automation. Automation. Electrical systems and systems: scientific and technical journal. Kherson, HNTU, 2 (22), 112–116.
- Bondarenko, L. N. (2014). Analysis of test methods to improve measurement accuracy [Analiz testovykh metodov povysheniya tochnosti izmerenij]. Measurement. Monitoring. Management. Control, 1 (7), 15–20.
- Tkachenko, V. N. (2013). Application redundancy input in defining the target coordinates passive multiposition complexes [Primenenie izbytochnosti vkhodnykh dannykh v zadache opredeleniya koordinat tseli passivnymi mnogopozitsionnymi kompleksami]. Nauka i tehnika Povitryanih Zbroynih Forces Forces of Ukraine, 4 (13), 64–67.
- Sage, E., Mills, J., Levina, E. d. (1978). Estimation theory and its application in communication and management [Teoriya ocenivaniya i ee primenenie v svjazi i upravlenii]. Moscow, USSR: Sviaz, 496.
- Karavaev, V. V., Sazonov, V. V. (1987). Statistical theory of passive location [Statisticheskaja teoriya passivnoj lokacii]. Moscow, USSR: Radio i Sviaz, 240.
- Khokhlov, M. V. (2008). The algorithm for determining the local topological redundancy telemetry measurements on hypergraph [Algoritm opredeleniya lokal'noj topologicheskoy izbytochnosti teleizmerenij na gipergrafe izmerenij]. Grid: management, competition, education: Sat. III international reports. scientific and practical. Conf. In 2 V. Yekaterinburg: Ural State Technical University, 1, 423–427.
- Nagin, I. (2012). A aggregation algorithm NAP SRNS and road wheel speed sensors [Algoritm kompleksirovaniya NAP SRNS i avtomobil'nykh datchikov skorostej vrashheniya koles]. Radio engineering, 6, 126–130.
- Shatila, A. J. (2008). Aggregation algorithm SRNS receiver and INS open circuit [Algoritm kompleksirovaniya priemnika SRNS i INS po razomkutoj skheme]. Radio engineering, 7, 19–25.
- Surkov, V. O. (2013). The analysis of the navigation systems for moving ground targets and principles of their construction [Analiz sostava navigatsionnykh sistem dlya podvizhnykh nazemnykh ob'ektov i printsipov ikh postroeniya]. Engineering: Tradition and Innovation: Materials II Intern. scientific. Conf. Chelyabinsk: Two Komsomolets, 34–37.
- Bobylev, A., Kruchinin, P. (2014). On the joint processing of readings inertial unit and video analytics systems [O sovmestnoj obrabotke pokazanij inertsial'nogo bloka i sistemy videoanaliza]. Physics and electronics in medicine and ecology. Proceedings of the 11th international conference FREME'2014 with elements of scientific youth school. Vol. 1. Vladimir Voronezh State University, 344–346.
- Nikitin, O. R. (2011). Integration of these multi-channel monitoring of the Earth surface [Kompleksirovanie dannykh mnogokanal'nogo monitoringa zemnoj poverkhnosti]. Methods and devices of information transmission and processing, 13, 68–71.
- Alguliev, R. M. (2012). Integration measurements to identify the flight path of the aircraft aparata [Kompleksirovanie izmerenij dlya identifikatsii traektorii poleta letatel'nogo aparata]. Mechatronics, Automation, Control, 2 (131), 57–60.
- Kulyavets, U. V., Bogatov, O. I., Ermakova, O. A. (2013). Combining redundant information for the purpose of spatial environmental monitoring. Eastern-European Journal of Enterprise Technologies, 6/9 (66), 3639. Available at: <http://journals.uran.ua/eejet/article/view/18933/17043>
- Tikhonov, V. I. (1982). Statistical radio engineering [Statisticheskaja radiotekhnika]. Moscow, USSR: Radio i Sviaz.
- Mirsky, G. Ya. (1972). Apparatus determination of random processes properties [Apparaturnoe opredelenie harakteristik sluchajnykh processov]. Moscow, USSR: Energiya, 456.
- Aghajanova, P. A., Dulevich, V. E., Korostelyova, A. A. (1969). Space trajectory measurements. Radio engineering methods of measurement and mathematical data processing [Radiotekhnicheskie metody izmerenij i matematicheskaja obrabotka dannykh]. Moscow, USSR: Sov. Radio, 504.
- Rao, S. R. (1968). Linear statistical methods and their application [Linejnye statisticheskie metody i ih primenenie]. Moscow, USSR: Nauka, 574.
- Mirsky, G. Ya. (1982). Features of stochastic relationship and their measurements [Harakteristiki stohasticheskoy vzaimosvjazi i ih izmerenija]. Moscow, USSR: Energoizdat, 320.
- Krasnogorov, S. I. (1998). Matrix analysis in tasks of extremum search [Matrichnyj analiz v zadachakh otyskaniya ehkstremumov]. Noginsk: Research institute 30 CSRI MO, 100.

## APPROACH TO THE REFERENCE DATABASE DEVELOPMENT FOR PROCESSING ABNORMAL SIGNALS OF TENSOMETRIC SYSTEMS (p. 13-20)

Nikolai Kopytchuk, Peter Tishyn,  
Igor Kopytchuk, Igor Mileiko

An algorithm for eliminating abnormalities when measuring signals in processes, proceeding under uncertainty was proposed in the paper. A mathematical model for representing an arbitrary signal, the parameters of which are calculated for a set of standard concepts make the basis of the formed reference database. Based on the analysis of the formed signal reference database, the possibility of applying the model for eliminating abnormalities in the current signal was proved. The problem of restoring signals with abnormalities, using the generated reference database was formulated. To solve the problem, the algorithm for eliminating abnormalities when processing the signals, obtained in the tensometric systems was developed. Its characteristic feature is the classification of the plurality of pilot signals using a set of fuzzy features.

Many standard representations of signals without the abnormalities, generated by an expert, have allowed to develop a reference database of signals without the abnormalities that is represented by a set of values of the mathematical model parameters.

The common result is the ability to process abnormal situations, arising in tensometric systems that can not be determined by other methods.

**Keywords:** time series, fuzzy logic, knowledge base, abnormality classification, tensometry.

### References

1. Song, Q. (2003). A note on fuzzy time series model selection with sample autocorrelation functions. *Cybernetics and Systems*, 34 (2), 93–107. doi: 10.1080/01969720302867
2. Song, Q., Chissom, B. (1993). Fuzzy time series and its models. *Fuzzy Sets and Systems*, 54 (3), 269–277. doi: 10.1016/0165-0114(93)90372-o
3. Jarushkina, N. G. (2004). *Osnovy teorii nechetkih i gibridnyh sistem*. Moscow: Finansy i statistika, 320.
4. Borisov, V. V., Fedulov, A. S. (2007). *Nechetkie modeli i seti*. Moscow: Gorjachaja liniya – Telekom, 284.
5. Rotshtejn, A. P. (1999). *Intellektual'nye tehnologii identifikacii: nechetkaja logika, geneticheskie algoritmy, nejronnye seti*. Vinnica: UNIVERSUM–Vinnica, 320.
6. Chandola, V. (2009). *Anomaly Detection: A Survey*. The University Of Minnesota, 72. Available at: <http://cucis.ece.northwestern.edu/projects/DMS/publications/AnomalyDetection.pdf> (Last accessed: 19.04.2014).
7. Deepthi Cheboli. *Anomaly Detection of Time Series (2010)*. Faculty Of The Graduate School Of The University Of Minnesota, 75. Available at: [http://conservancy.umn.edu/bitstream/11299/92985/1/Cheboli\\_Deepthi\\_May2010.pdf](http://conservancy.umn.edu/bitstream/11299/92985/1/Cheboli_Deepthi_May2010.pdf) (Last accessed: 20.04.2014).
8. Salvador, S., Chan, P. (2005). Learning States and Rules for Detecting Anomalies in Time Series. *Applied Intelligence*, 23 (3), 241–255. doi: 10.1007/s10489-005-4610-3
9. Wei, L., Kumar, N. (2005). Assumption-Free Anomaly Detection in Time Series. *SSDBM'2005. Proceedings of the 17th international conference on Scientific and statistical database management*, 237–240. Available at: <http://alumni.cs.ucr.edu/~ratana/SSDBM05.pdf> (Last accessed: 19.04.2014).
10. Afanas'eva, T. V. (2013). *Modelirovanie nechetkih tendencij vremennyh rjadov*. Ul'janovsk: UIGTU, 215.
11. Kovalev, S. M. (2013). *Metody mnogoshagovogo predkazaniya anomalij v temporal'nyh dannyh*. *Izvestija JuFU. Tehniceskie nauki. Tematicheskij vypusk Intellektual'nye SAPR*, 7, 185–181.
12. Kopytchuk N. B., Tishin, P. M., Kopytchuk, I. N., Milejko, I. G. (2015). *Algoritm opredelenija anomal'nyh situacij dlja tenzometricheskijh sistem*. *Visnik Nacional'nogo tehnicnogo universitetu "HPI" Zbirnik naukovih prac'*. Serija: Mehaniko–tehnologichni sistemi ta kompleksi, 21 (1130), 37–45.
13. Kopytchuk, N. B., Tishin, P. M., Kopytchuk, I. N., Milejko, I. G. (2015). Construction of set of standards to improve the accuracy of expert assessments. *ScienceRise*, 4/2(9), 72–76. doi: 10.15587/2313-8416.2015.41579
14. Kopytchuk, N. B., Tishin, P. M., Kopytchuk, I. N., Milejko, I. G. (2014). *Postroenie aproksimirujushhej nechetkoj zavisimosti, dlja opredelenija parametrov klassifikacii anomalij, nauchnoe izdanie «Innovacii v nauke»*. *Sbornik statej po materialam XXXVI mezhdunarodnoj nauchno-prakticheskoj konferencii*, 8 (33), 14–22.

### THROUGHPUT ANALYSIS OF WIRELESS CHANNEL IN BOOSTING MODES (p. 20-24)

Sergey Nesterenko, Iuliia Nesterenko

In addition to the basic transmission mode, leading manufacturers of integrated circuits for wireless devices such as Atheros, Broadcom and TexasInstruments insert a number of additional modes into their products, aimed at

increasing the throughput of wireless channel of the IEEE 802.11g standard, the so-called boosting modes.

The analysis of the additional boosting modes for the wireless channel of the IEEE 802.11g standard was performed in the paper. It is shown that in contrast to the basic transmission mode for these modes, there are no mathematical models and throughput studies of wireless channels which operate in these modes.

The mathematical models for calculating the maximum throughput of the wireless channel of the IEEE 802.11g standard for boosting modes: CompressionMode, BurstingMode, FastFrames and DynamicTurbo were obtained. Mathematical models analytically express the dependence of the maximum throughput of the wireless channel on the data frame size. Using the obtained models, a study of the maximum throughput of the wireless channel of the IEEE 802.11g standard for the given transmission modes was carried out.

Studies have shown that these modes provide an increase in the maximum throughput of the wireless channel from 33 % to 50 % compared with the basic transmission cycle of the IEEE 802.11g standard. Based on the studies, a conclusion on the feasibility of using these modes for increasing the throughput of wireless networks was made. For each of the modes, upper limits of the throughput increase of the wireless channel of the IEEE 802.11g standard were defined.

**Keywords:** wireless channel, boosting modes, mathematical model, maximum throughput.

### References

1. Cisco wireless LAN design (2008). Cisco White Paper. Available at: [http://www.cisco.com/web/about/ciscoatwork/downloads/ciscoatwork/pdf/Cisco\\_IT\\_Wireless\\_LAN\\_Design\\_Guide.pdf](http://www.cisco.com/web/about/ciscoatwork/downloads/ciscoatwork/pdf/Cisco_IT_Wireless_LAN_Design_Guide.pdf)
2. Wireless connectivity for the Internet of Things (2014). Texas Instruments White Paper, 14. Available at: <http://www.ti.com/lit/wp/slay028/Slay028.pdf>
3. IEEE 802.11 standard, Part 11 (2012). *Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications*.
4. Super G maximizing wireless performance (2004). Atheros White Paper. Available at: [http://www.digicom.it/italiano/supporto/WhitePaper/Wireless108M\\_whitepaper.pdf](http://www.digicom.it/italiano/supporto/WhitePaper/Wireless108M_whitepaper.pdf)
5. Xpress Technology: Maximizing Performance in 802.11 Wireless Lans (2004). Broadcom White Paper. Available at: [http://www.icg.isy.liu.se/courses/tsin01/material/WP2\\_Xpress-030617.pdf](http://www.icg.isy.liu.se/courses/tsin01/material/WP2_Xpress-030617.pdf)
6. TI G-plus (802.11g+) Performance-Enhancing Technology (2004). TI White paper. Available at: [http://focus.ti.com/pdfs/bcg/80211g\\_plus\\_wp.pdf](http://focus.ti.com/pdfs/bcg/80211g_plus_wp.pdf)
7. Atheros wireless LAN (2004). Toshiba White Paper. Available at: <http://www.pdfdrive.net/atheros-wireless-lan-e3555704.html>
8. 802.11 Wireless LAN Performance (2013). Qualcomm White Paper. Available at: [http://www.qca.qualcomm.com/wp-content/uploads/2013/10/Atheros\\_range\\_whitepaper\\_whitepaper.pdf](http://www.qca.qualcomm.com/wp-content/uploads/2013/10/Atheros_range_whitepaper_whitepaper.pdf)
9. Jun, J., Peddabachagari, P., Sichitiu, M. (2003). Theoretical Maximum Throughput of IEEE 802.11 and its Applications. *Proceedings of the Second IEEE International Symposium on Network Computing and Applications*, 121–129. doi: 10.1109/nca.2003.1201163
10. Barbosa, M., Bordim, J. (2011). The Theoretical Maximum Throughput Calculation for the IEEE802.11g Standard. *International Journal of Computer Science and Network Security*, 11 (4), 136–143.
11. Nesterenko, S., Nesterenko, I. (2015). Analysis of IEEE 802.11g wireless channel maximum throughput. *Electro-technic and Computer Systems*, 2, 42–46.
12. Khan, M., Khan, T., Beg, M. (2013). Evaluating the performance of IEEE 802.11 WLAN using DCF with RTS/CTS mechanism. *International Journal of Electrical, Electronics & Comm. Eng.*, 2, 264–271.

13. Sharma, R., Singh, G., Agnihorti, R. (2010). Comparison of performance analysis of 802.11a, 802.11b and 802.11g standard. *International Journal on Computer Science and Engineering*, 2, 2042–2046.
14. Potorac, A., Onofrei, A., Balan, D. (2010). An Efficiency Optimization Model for 802.11 Wireless Communication Channels. *Electronics and Electrical Engineering*. 1, 67–71.

#### RATIONALE FOR THE CHOICE OF PARAMETERS OF TEST CONTROL SYSTEMS IN DYNAMIC OPERATION (p. 24-29)

**Sergey Kondrashov, Igor Hrihorenko, Marina Oprishkina, Mihail Trokhin**

The paper considers an effective approach to scientific and practical problems of improving the accuracy of primary electrical transducers. We have analyzed the application of test experiments with non-dismantling control in working conditions with the use of relation and difference correction operators. Owing to the devised methods of minimizing dynamic measurement errors for transducers with non-linear converting function we managed to decrease the dynamic measurement error by 5.0 % from the current analogues. We have estimated the measurement errors for the exponential input signal and researched functional correction operators resulting from nonlinear indirect measurements. The devised engineering method for determining the parameters of test control systems allows solving the problems of synthesis and analysis of the latter: obtaining the necessary amount of ADC bits with the criterion of specified accuracy of measurements, or solving an inverse, in fact a more common, problem and calculating the system accuracy for a specified ADC capacity.

**Keywords:** test control, electrical transducers, fractional and rational transformation function, non-linearity error.

#### References

1. Volodarsky, E. T., Kukharchuk, V. V., Podzharenko V. O., Serdyuk, G. B. (2001). *Metrolohichne zabezpechennia vymiriuvan' i kontroliu*. Vinnitsa: Velez, 219.
2. Bromberg, E. M., Kulikovskiy, K. L. (1978). *Testovye metody povysheniya tochnosti yzmereniy*. Moscow: Energy, 176.
3. Golovko, D. B., Skripnik, Yu. O., Himicheva, G. I., Golovko, D. B. (1999). *Strukturno-algoritmichni metody pidvischeniya tochnosti vimiryuvannya temperaturi*. Kiev: FADA LTD, 206.
4. Sheppard, J. W., Kaufman, M. A. (2005). A Bayesian Approach to Diagnosis and Prognosis Using Built-In Test. *IEEE Transactions on Instrumentation and Measurement*, 54 (3), 1003–1018. doi: 10.1109/tim.2005.847351
5. Jin, L., Parthasarathy, K., Kuyel, T., Chen, D., Geiger, R. L. (2005). Accurate Testing of Analog-to-Digital Converters Using Low Linearity Signals With Stimulus Error Identification and Removal. *IEEE Transactions on Instrumentation and Measurement*, 54 (3), 1188–1199. doi: 10.1109/tim.2005.847240
6. Skoczowski, S., Osadowski, A. (1994). A Simple Identification Method for the Order of the Strejc Model and its Application to Autotuning. *IFAC Intelligent components and instruments for control applications*, 2nd IFAC Symposium. Budapest, Hungary, 319–325. doi: 10.1016/b978-0-08-042234-3.50054-0
7. Stieber, M. E., Petriu, E., Vukovich, G. (1998). Instrumentation architecture and sensor fusion for systems control. *IEEE Transactions on Instrumentation and Measurement*, 47 (1), 108–113. doi: 10.1109/19.728801
8. Hryhorenko, I. V. (2008). Doslidzhennia vplyvu nelinejnosti zminy vkhidnoho syhnalu na dynamichnu pokhybku vymiriuvanoho peretvoriuvacha pid chas provedennia testovoho kontroliu. *Vestnyk NTU «KhPI»*, 57, 50–57.
9. Hryhorenko, I. V. (2010). Rozvytok testovykh metodiv pidvischeniya tochnosti elektrychnykh kompensatsijnykh

vymiriuval'nykh peretvoriuvachiv u dynamichnykh rezhy-makh. Kharkiv, 224.

10. Oprishkina, M. I. (2013). *Testoviy metod pidvischeniya tochnosti elektrychnykh davachiv z nelinejnyimi funktsiyami peretvorennia*. Kharkiv, 186.

#### K-PLUS-NEAREST NEIGHBOR METHOD DEVELOPMENT FOR CREDIT SCORING MACHINE LEARNING TASKS (p. 29-38)

**Oleksandr Soloshenko**

The pace of development of modern risk management and data mining technologies causes the relevance of searching for new or improved effective methods for statistical and non-statistical forecasting, as well as forming the problems of deep study of existing methods and characteristics of their application conditions. Machine learning, namely memory-based learning is one of the most practically useful, broad and insufficiently studied areas. Also, the development of modern information technologies and ways to improve readability and simplicity of code causes the relevance of the study support with the implementation of the fourth-generation programming language.

The research deals with developing basic and advanced k-plus-nearest neighbor method as significantly improved classical k-nearest neighbor method with eliminated shortcomings and inaccuracies of practical realization: the problem of selecting a metric space and the metrics itself, problem of using categorical (including sampled) variables on the set, the issue of probabilistic classification, problem of taking into account equally spaced groups of elements relative to the element to be classified, the model optimality criterion based on the method and the method of its use for selecting the optimal parameter, ways to accelerate application. The main work is focused on using the methodology and indicators of credit scoring in machine learning problems. The full code for the basic proposed method in the SQL language – MS SQL (T-SQL) dialect was given.

As a result of the study, efficiency was determined at the stage of applying the basic proposed method in terms of the optimality criterion – Gini index relative to probabilistic forecasts compared to logistic regression in terms of two factors: the quality of forecasts and number of parameters to be optimized.

The practical value of the results obtained on the example of simulation using mass consumer credit data lies in the simplicity and effectiveness of the proposed method by means only of the server part of the DBMS.

**Keywords:** k-nearest neighbor method, credit scoring, binary classification, structured query language.

#### References

1. Barbaumov, V. E., Rogov, M. A., Shchukin, D. F. et al.; Lobanov, A. A., Chugunov, A. V. (Eds.) (2003). *Entsiklopediya finansovogo risk-menedzhmenta*. Moscow: Alpina Publisher, 786.
2. Siddiqi, N. (2006). *Credit risk scorecards: developing and implementing intelligent credit scoring*. Hoboken: John Wiley & Sons, Inc., 196.
3. Thomas, L. C., Edelman, D. B., Crook, J. N. (2002). *Credit Scoring and its Applications: Monograph*. Philadelphia: SIAM, 248.
4. Wang, W., Vlatsa, D. A., Glennon, D. C. et al.; Mays, E., Voronenko, D. I. (Eds.) (2008). *Rukovodstvo po kreditnomu skoringu*. Minsk: Grevtsov Publisher, 464.
5. Soloshenko, O. M. (2014). Adjustment of the iterative reclassification method for including the rejected applications into the credit scoring. *Research bulletin of NTUU "KPI"*, 5, 63–69.

6. Soloshenko, O. M. (2014). Kullback–Leibler divergence research for the simulation of the credit scoring. The Development of the Informational and Resource Providing of Science and Education in the Mining and Metallurgical and the Transportation Sectors 2014: conference proceedings. Dnepropetrovsk, Ukraine: National Mining University, 328–333.
7. Soloshenko, O. M. (2015). The way of assessing the Gini coefficient, the Kolmogorov-Smirnov statistics and the Mahalanobis distance in credit scoring using SQL language possibilities. Research bulletin of NTUU “KPI”, 1, 29–35.
8. Haykin, S. (2005). Neural networks: a comprehensive foundation. 2nd edition. Delhi: Pearson Education, Inc., 823.
9. Ben-Gan, I. (2012). Microsoft® SQL Server® 2012 T-SQL fundamentals. Sebastopol: O’Reilly Media, Inc., 412.
10. Ben-Gan, I. (2012). Microsoft® SQL Server® 2012 high-performance T-SQL using window functions. Sebastopol: O’Reilly Media, Inc., 221.
11. Terentiev, O. M. (2009). Modeli i metody pobudovy ta analizu baiesivskykh merezh dlya intelektualnogo analizu danyh. Kiev, 258.
12. Allison, P. D. (1999). Logistic regression using the SAS® system: theory and application. Cary: SAS Institute Inc., 287.
13. Spipunov, A. B., Baldin, E. M., Volkova, P. A. et al. (2014). Naglyadnaya statistica. Ispolzuem R! Moscow: DMK Press, 298.
14. Egorova, I., Egorov, S. (2012). Software implementation of classification methods. Eastern-European Journal of Enterprise Technologies, 1/5(43), 52–54. Available at: <http://journals.uran.ua/eejet/article/view/2579/2384>
15. Keller, J. M., Gray, M. R., Givens, J. A. (1985). A fuzzy K-nearest neighbor algorithm. IEEE Transactions on Systems, Man, and Cybernetics, SMC-15 (4), 580–585. doi: 10.1109/tsmc.1985.6313426
16. Berzlev, O. (2013). A method of increment signs forecasting of time series. Eastern-European Journal of Enterprise Technologies, 2/4(62), 8–11. Available at: <http://journals.uran.ua/eejet/article/view/12362/10250>
17. Soloshenko, O. M. (2014). Adaptatsiya formul pidrahunku vag kategorii zminnoi ta zachennya informatsii zminnoi pry vidomomu rozpodili kategorii ta vidomyh umovnyh ymovirnostyah negatyvnyh zhachen tsilovoi zminnoi. Problems of science, 10 (166), 45–47.

---

**ROBUST ADAPTIVE CONTROL SYSTEM WITH UNKNOWN DELAY COMPENSATION UNDER NONSTATIONARITY AND EXTERNAL DISTURBANCES (p. 39–45)**

**Mykhailo Lysytsia, Pavlo Lysytsia**

Robust control system of non-stationary object with unknown variable limited state delay under disturbances was synthesized using only the measured value of the object output signal and calculated values of the observer of derivatives of additional loop and observer of pseudoderivatives of the main loop.

The idea of calculation of pseudoderivatives lies in using the calculation formula of derivatives with the introduction of additional corrective matrix, which in the last line, at the observer matrix dimension of  $\geq 2$ , includes the values, accordingly depending on the number  $\mu$ , determining the gain of pseudoderivatives.

Based on the proposed calculation algorithm of pseudoderivatives instead of the algorithm of derivatives of the observer of the main control loop, object control error with the specified uncertainty class of up to  $7 \times 10^{-4}$ , which is by 37 times less than the error of the current system with the same value of the coefficient  $m$  was reduced. Comparative transients confirm the effectiveness of the proposed adaptive control method of non-stationary objects of the given uncertainty class based on the observer of pseudoderivatives.

**Keywords:** robust control system, variable delay, non-stationary object model, observer of pseudoderivatives.

**References**

1. Kharitonov, V. L. (1978). Asimptoticheskaya ustoiichivost' semeystva sistem differentsial'nykh uravneniy. Differentsial'nye uravneniya, 14 (11), 2086–2088.
2. Polyak, B. T., Shcherbakov, P. S. (2002). Robustnaya ustoychivost' i upravlenie. Moscow: Nauka, 303.
3. Miroshnik, I. V., Nikiforov, V. O., Fradkov, A. L. (2000). Nelineynoe i adaptivnoe upravlenie slozhnymi dinamicheskimi sistemami. SPb.: Nauka, 549.
4. Tsykunov, A. M. (2000). Adaptivnoe upravlenie s kompensatsiyey vliyaniya zapazdyvaniya v upravlyayushchem vozdeystvii. Izvestiya RAN. Teoriya i sistemy upravleniya, 4, 78–81.
5. Tsykunov, A. M. (2002). Adaptivnyy prediktor i ego primeneniye v sistemakh upravleniya s zapazdyvaniem. Sb. «Avtomatika i elektromekhanika». Astrakhanskiy gosudarstvennyy tekhnicheskiy universitet, 112–116.
6. Starosel'skiy, A. V. (2014). Bystrodeystvuyushchiy adaptivnyy nablyudatel' v sisteme kompensatsii neizvestnogo zapazdyvaniya. Moskovskiy gosudarstvennyy institut elektroniki i matematiki. Moscow. Available at: [http://www.nostras.ru/matematika/adaptivnaya\\_sistema\\_kompensatsii.html](http://www.nostras.ru/matematika/adaptivnaya_sistema_kompensatsii.html)
7. Imangazieva, A. V. (2011). Robustnaya sistema avtomaticheskogo upravleniya s kompensatsiyey zapazdyvaniya v usloviyakh nestatsionarnosti. Vestn. Astrakhan. gos. tekhn. un-ta. Seriya: Upravlenie, vychisl. tekhn. inform., 2, 30–36.
8. Furtat, I. B. (2007). Adaptivnoe upravlenie po vykhodu dlya sistem s zapazdyvaniem po upravleniyu na osnove modifitsirovannogo algoritma adaptatsii vysokogo poriyadka. Trudy VI Mezhdunarodnoy konferentsii «Identifikatsiya sistem i zadachi upravleniya». Moscow, 595–606.
9. Khalil, H. K. (2000). Universal integral controllers for minimum-phase nonlinear systems. IEEE Transactions on Automatic Control, 45 (3), 490–494. doi: 10.1109/9.847730
10. Doyle, J. C., Glover, K., Khargonekar, P. P., Francis, B. A. (1989). State-space solutions to standard  $H_2$  and  $H_\infty$  / control problems. IEEE Transactions on Automatic Control, 34 (8), 831–847. doi: 10.1109/9.29425
11. Glover, K. (1986). Robust stabilization of linear multivariable systems: relations to approximation. International Journal of Control, 43 (3), 741–766. doi: 10.1080/00207178608933499
12. Hassan, L., Zemoucke, A., Boutayer, M. (2011).  $H_\infty$  unknown input observers design for a class of nonlinear time-delay systems. Preprints of 18th IFAC World Congress, 3879–3884. doi: 10.3182/20110828-6-it-1002.01583
13. Han, Q.-L. (2002). Robust stability of uncertain delay-differential systems of neutral type. Automatica, 38 (4), 719–723. doi: 10.1016/s0005-1098(01)00250-3
14. Ivănescu, D., Niculescu, S.-I., Dugard, L., Dion, J.-M., Verriest, E. I. (2003). On delay-dependent stability for linear neutral systems. Automatica, 39 (2), 255–261. doi: 10.1016/s0005-1098(02)00227-3
15. Nguang, S. K. (2000). Robust stabilization of a class of time-delay nonlinear systems. IEEE Transactions on Automatic Control, 45 (4), 756–762. doi: 10.1109/9.847117
16. Gao, H., Chen, T., Lam, J. (2008). A new delay system approach to network-based control. Automatica, 44 (1), 39–52. doi: 10.1016/j.automatica.2007.04.020
17. Liu, J., Liu, X., Xie, W.-C. (2008). Delay-dependent robust control for uncertain switched systems with time-delay. Nonlinear Analysis: Hybrid Systems, 2 (1), 81–95. doi: 10.1016/j.nahs.2007.04.001

---

**DEVISING THE LAW OF AUTOMATIC COMPENSATION FOR A FAILED ENGINE IN A GOING AROUND PLANE**

**Vadym Morozov, Sergii Morozov**

The paper presents a numerical simulation of an automatic go-around of an aircraft with a failed engine. When an engine fails, it reveals additional resistance force as well

as entails unbalanced forces and moments at a roll and a yaw rotation. To quantify an automatic go-around of an aircraft with a failed engine, the value of the steady climb full gradient should not be lower than its minimum set point. Thus, for a four-engine airplane the minimum set value of the full steady climb makes up 2.7 %.

An automatic go-around of an aircraft with a failed engine (with no regard to the failure compensation) is characterized by a decreased full gradient of the steady climb and the current gradient as well as a “sluggish” acceleration of the aircraft speed and climb.

The synthesis of the law of automatic compensation for a failed engine is based on the principle of direct measurement of disturbances and their application in a loop control of the rudder. The measured disturbances typical of an engine failure include deviation of the set rotor speed of low-pressure fans for the left and right engines as well as a lateral acceleration in the direction of the failed engine.

The devised law of automatic compensation for a failed engine in the rudder channel allows to increase the supply of a complete steady gradient of an aircraft climb by 2.0–3.0 % and improve the dynamics of velocity acceleration and the aircraft climb.

**Keywords:** go-around, engine failure, full (complete) gradient, rudder.

#### References

1. Kotik, M. G. (1984). *Dinamika vzljota i posadki samoljotov*. Moscow: Mashinostroenie, 256.
2. Advisory Circular. Criteria for approval of category III weather minima for take off, landing, and rollout (1999). AC120-28D. FAA, 91.
3. Certification Specifications for All Weather Operations CS-AWO (2003). EASA, 67.
4. Morozov, S. V. (2008). Avtomatichnij vuhid litaka na druge kolo za kriteriem energetichnogo shvidkisnogo pidjomy. *Naukovi visti NTUU “KPI”*, 2 (58), 68–74.
5. Morozov, V. S. (2015). Zakon upravlinnja najvnoju energieju povitranogo sudna pid chas avtomatichnogo vuhodu na druge kolo. *Problemu informatizacii i upravlenya: sb. Nauchnuh rabot*, 2 (50), 60–72.
6. Rukovodstvo po sertifikacii samoljotov transportnoj kategorii v chasti sredstv avtomaticheskogo upravljenja na sootvetstvie trebovanijam AP-25 (1991). Part 1. Moscow: LII im. Gromova, 25.
7. Pahnenco, V. L., Trjuhan, O. N., Savchenkov YU. G. (1992). *Osobnosti practicheskoy aerodinamiki samoleta AN-124*. Kiev: KVVAIU, 112.
8. Ephremov, A. V., Zaharchenko V. F., Ovcherenko, V. N. et al.; Bjushgens, G. S. (Ed.) (2011). *Dinamika poleta*. Moscow: Mashinostroenie, 776.
9. Morozov, V. S. (2015). Analiticheskoe konstruirovanie reguljatora vertikalnoi skorosti dlja obespechenia avtomaticheskogo upravljenja uhomom na vtoroj krug pasagirskogo samoljota. *Problemu informatizacii i upravlenya: sb. Nauchnuh rabot*, 1 (49), 70–77.
10. Borisenko, Yu. G., Kuznecov, A. G. (2010). Osnovnye principu avtomatizacii upravljenja tjagoi sovremennuh samoljotov. *Trydu Moskovskogo instituta elektromehaniki i avtomatiki. Navigazija i upravlenie letatelnumi apparatami*, 2, 10–16.